

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 04306/0202707-US0
INTERNATIONAL APPLICATION NO. PCT/BR2003/ 00145- <i>16</i>	INTERNATIONAL FILING DATE 8 August 2003	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/530077</b>
TITLE OF INVENTION- SUCTION VALVE FOR A SMALL HERMETIC COMPRESSOR		PRIORITY DATE CLAIMED 9 October 2002
APPLICANT(S) FOR DO/EO/US Marcos G. Bortoli, Bortoli et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 and PTO/SB/08a.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: International Search Report; Affirmation of Claim for Priority & copy of PCT/IB/304; International Preliminary Examination Report (PCT Article 36 & Rule 70); Certificate of Express Mailing

U.S. APPLICATION NO. <b>10/530077</b> INTERNATIONAL APPLICATION NO. <b>PCT/BR2003/00145</b>		ATTORNEY'S DOCKET NUMBER <b>04306/0202707-USO</b>	
The following fees have been submitted		CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee ..... \$300		\$ 300.00	
22. <input type="checkbox"/> Examination fee			
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$100			
All other situations ..... \$200		\$	
23. <input type="checkbox"/> Search fee			
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100			
International Search Report prepared and provided to the Office ..... \$400		\$	
All other situations ..... \$500			
TOTAL OF 21, 22 and 23 =		\$ 300.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
16 - 100 =	/50 =		x \$250.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	8 - 20 =		x
			\$ 0.00
Independent claims	1 - 3 =		x
			\$ 0.00
MULTIPLE DEPENDENT CLAIM(s) (if applicable)		+	\$
TOTAL OF ABOVE CALCULATIONS =		\$ 300.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		\$	
SUBTOTAL =		\$ 300.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).		+	\$
TOTAL NATIONAL FEE =		\$ 300.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+	\$
TOTAL FEES ENCLOSED =		\$ 300.00	
		Amount to be refunded:	\$
		Amount to be charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 300.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.			
SEND ALL CORRESPONDENCE TO:			
Joseph R. Robinson DARBY & DARBY P.C. P.O. Box 5257 New York, New York 10150-5257 (212) 527-7783 CUSTOMER NUMBER: 07278		SIGNATURE: <u><i>Louis J. DeJuidice</i></u> NAME: <u>Louis J. DeJuidice</u> 47,522 REGISTRATION NUMBER	

Rec'd PCT/PTO 01 APR 2005  
10/530077

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 04306/0202707-US0

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. \_\_\_\_\_ in an envelope addressed to:

*EV* 447564717-US  
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International Search Report; Affirmation of Claim for Priority & copy of PCT/IB/304; International Preliminary Examination Report (PCT Article 36 & Rule 70); Certificate of Express Mailing  
Information Disclosure Statement (2pp) *(3) Refs & ISR*  
Transmittal Letter to the United States Designated-Elected Office (2pp)  
First Preliminary Amendment (5pp)  
Application Data Sheet (3pp)  
Check in the amount of \$300.00  
Return receipt postcard